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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only) for new nonprovisional applications under  
37 CFR 1.53(b)

Attorney Docket No. X-13353A

First Named Inventor or Application Identifier

Julian Stanley Kroin

Express Mail Label No.

EL 832892725 US

**Application Elements**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)6. ☐ Microfiche Computer Program (Appendix)2. ☒ Specification [Total 376 ]  
(preferred arrangement set forth below) Pages

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

- Abstract of the Disclosure

3. ☐ Drawing(s) (35 USC [Total ]  
113) Sheets4. Oath or Declaration [Total 42 ]  
Pagesa. ☐ Newly executed (original or copy)b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]i. ☒ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).5. ☒ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.**ACCOMPANYING APPLICATION PARTS**8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement Power of Attorney  
(when there is an assignee) ☐ Attorney  
10. ☐ English Translation Document (if applicable)11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations12. ☒ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)14. ☐ Small Entity Statement filed in prior application, Status still proper and desired15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)16. ☐ Other: XXX

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 10/130800**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label

(Insert Customer No. Or Attach bar code label here)

or

Correspondence address below

Eli Lilly and Company

**ADDRESS**Patent Division  
P.O. Box 6288

25885

PATENT TRADEMARK OFFICE

CITY Indianapolis STATE Indiana ZIP CODE 46206-6288  
COUNTRY U.S.A. TELEPHONE 317-277-3537 FAX 317-276-3861**SUBMITTED BY**

Typed or Printed Name Tina M. Tucker

Complete (if applicable)

Signature *Tina M. Tucker*

Reg. Number 47,145

Date 8 March 2004

"Express Mail" mailing label number EL 832892725 US

Date of Deposit 3-10-04

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QUEEN THOMAS

Printed Name

Queen Thomas

Signature

Please type a plus sign (+) inside this box → ☐

PTO/SB/17 (12/97)

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# FEE TRANSMITTAL

Note: Effective November 10, 1997.  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**770.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **05-0840**
- Deposit Account Name **Eli Lilly and Company**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance
2. ☐ Payment Enclosed:
- ☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	770	201	385	Utility filing fee	770
106	340	206	170	Design filing fee	
107	530	207	265	Plant filing fee	
108	770	208	385	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$770.00)

### 2. CLAIMS

Total Claims	Extr a	Fee from below	Fee Paid
13	-	18	0
Independent Claims	1	86	0
Multiple Dependent Claims (first time)		290	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	86	202	43	Independent claims in excess of 3
104	290	204	145	Multiple dependent claim
109	86	209	43	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

\*\*or number previously paid, if greater; For Reissues, see above

### SUBMITTED BY

Typed or Printed Name **Tina M. Tucker**

Signature *Tina M. Tucker*

### Complete (if applicable)

Reg. Number **47,145**

Date **8 MAR 2004**

\*Express Mail\* mailing label number **EL 832892725 US**

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**QUEEN THOMAS**

Printed Name

*Queen Thomas*

Signature

### Complete if Known

Application Number

Filing Date

First Named Inventor **Julian Stanley Kroin**

Group Art Unit

Examiner Name

Attorney Docket Number **X-13353A**

### FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	420	216	210	Extension for reply within second month	
117	950	217	475	Extension for reply within third month	
118	1,480	218	740	Extension for reply within fourth month	
128	2,010	228	1,005	Extension for reply within fifth month	
119	330	219	165	Notice of Appeal	
120	330	220	165	Filing a brief in support of an appeal	
121	290	221	145	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1,330	241	665	Petition to revive-unintentional	
142	1,330	242	665	Utility issue fee (or reissue)	
143	480	243	240	Design Issue Fee	
144	640	244	320	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	770	246	385	Filing a submission after final rejection (37 CFR 1.129(a))	
149	770	249	385	For each additional invention to be examined (37 CFR 1.129(b))	
179	770	279	385	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0)